

# International Conference on Space Optics—ICSO 2018

Chania, Greece

9–12 October 2018

*Edited by Zoran Sodnik, Nikos Karafolas, and Bruno Cugny*



## *WFIRST OAPs' fabrication: prototyping phase*

*Mélanie Roulet*

*Emmanuel Hugot*

*Carolyn Atkins*

*Sabri Lemared*

*et al.*



icso proceedings



## WFIRST OAPs' fabrication: prototyping phase

Mélanie Roulet <sup>\*a</sup>, Emmanuel Hugot<sup>a</sup>, Carolyn Atkins<sup>b</sup>, Sabri Lemared<sup>a</sup>, Marc Ferrari<sup>a</sup>, Simona Lombardo<sup>a</sup>

<sup>a</sup> Aix Marseille Univ, CNRS, CNES, LAM, Marseille, France; <sup>b</sup> UK Astronomy Technology Centre, Royal Observatory, Edinburgh, EH9 3HJ, UK

### ABSTRACT

This paper describes the development of a new manufacturing process to directly obtain off-axis parabolas (OAP) by combining 3D printing and stress polishing. 3D printing opens up a new vision for optics fabrication by providing innovative lightweight structures which are not fabricable with traditional mechanical manufacturing. It also provides a new range of materials covering plastic up to metal through composite materials and ceramics.

The direct imaging of exoplanets using coronagraphic instruments provides a good example of an astronomical application that can greatly benefit from such developments. Exoplanets imaging is very demanding in terms of optical surface quality, however, the majority of coronagraphic instruments use off axis optics, which manufacturing of such optics could present some drawbacks: either the optics are cut out of a parent large mirror, resulting in a material loss, or the surfaces are machined with sub-aperture tools, resulting in high spatial frequency ripples which must be avoided for this application.

Thanks to 3D printing and topology optimisation we created an innovative warping harness design which can generate any off axis parabola shapes with only one actuator. We optimised the harness thickness distribution in order to reach non symmetrical deformation composed of astigmatism and coma. The warping is applied by micrometric screws and the high transmission factor of the system allows to keep stable the final error budget despite the error introduced by the warping harness fabricated by 3D printing. Several warping harness designs and materials were explored for the prototyping phase. This study is part of WFIRST satellite which will be launch in 2024 by NASA to observe galaxies via a wide field instrument and also perform exoplanet direct imaging via coronagraph. In the case of the WFIRST coronagraphic instrument, eight off axis parabolas are used to relay the beam from one pupil to another. We present the first prototyping results dedicated to the WFIRST off axis parabolas. Deformation surface results are performed by interferometric measurements and compared to Finite Element Analysis predictions.

**Keywords:** Off-axis parabolas, mirror manufacturing, 3D printing, stress polishing, finite element analysis,

### 1. INTRODUCTION

The Wide Field Infrared Space Telescope (WFIRST) is the next NASA flagship mission beyond the James Webb Space Telescope (JWST)<sup>1</sup>. The telescope is scheduled to launch in 2024 to observe exoplanets and high redshift galaxies formed just after the Big Bang. In this paper we are interested in the coronagraph instrument (CGI) which is capable to perform direct imaging for exoplanet detection. The principle is to occult the star with a mask to create a disk where exoplanets are detectable. Many optical components are used within the coronagraph to achieved high contrast imagery and in this paper we are interested in the eight off axis parabolas.

Off-axis parabolas are used in this coronagraph as a relay. They are located between the other optical components to relay the beam between the focal plane to the pupil plane. The surface of each off-axis parabola is required to be superpolished to minimise the introduction of wavefront errors in the beam transmission.

In this paper we describe the development of a new manufacturing process for off-axis parabolas. Using stress polishing we can reach very high quality surface, perfectly suited for high contrast imaging. Using 3D printing we can create an innovative warping harness which is able to generate an off-axis parabola shape. These two techniques are combined to develop an easy manufacturing process for off axis parabolas.

## 2. OFF-AXIS PARABOLAS DEFINITION

The Zernike decomposition is used to define the circular surface shape of the off-axis parabolas <sup>1</sup>. The first seventeen Zernike aberrations are listed in the Table 1. They are sorted with n, the radial coefficient increasing and m, the azimuthal coefficient increasing.

Number	Aberration	Expression	n	m
1	Piston	1	0	0
2	Tilt x	$\rho \cos\theta$	1	1
3	Tilt y	$\rho \sin\theta$	1	-1
4	Spherical	$2\rho^2 - 1$	2	0
5	Astigmatism 3x	$\rho^2 \cos(2\theta)$	2	2
6	Astigmatism 3y	$\rho^2 \sin(2\theta)$	2	-2
7	Coma 3x	$(3\rho^2 - 2)\rho \cos\theta$	3	1
8	Coma 3y	$(3\rho^2 - 2)\rho \sin\theta$	3	-1
9	Trefoil 5x	$\rho^3 \cos(3\theta)$	3	3
10	Trefoil 5y	$\rho^3 \sin(3\theta)$	3	-3
11	Spherical 3	$6\rho^4 - 6\rho^2 + 1$	4	0
12	Astigmatism 5x	$(4\rho^2 - 3)\rho^2 \cos(2\theta)$	4	2
13	Astigmatism 5y	$(4\rho^2 - 3)\rho^2 \sin(2\theta)$	4	-2
14	Squad 7x	$\rho^4 \cos(4\theta)$	4	4
15	Squad 7y	$\rho^4 \sin(4\theta)$	4	-4
16	Coma 5x	$(10\rho^4 - 12\rho^2 + 3)\rho \cos\theta$	5	1
17	Coma 5y	$(10\rho^4 - 12\rho^2 + 3)\rho \sin\theta$	5	-1

Table 1. Zernike aberrations

An off-axis parabola can be defined by Zernike aberrations as a combination of astigmatism 3 and coma 3. Figure 1 presents the shape of an off-axis parabola with a Ratio(coma/astig) of 15% using Zernike aberrations. This definition will be used for the next sections of this paper.

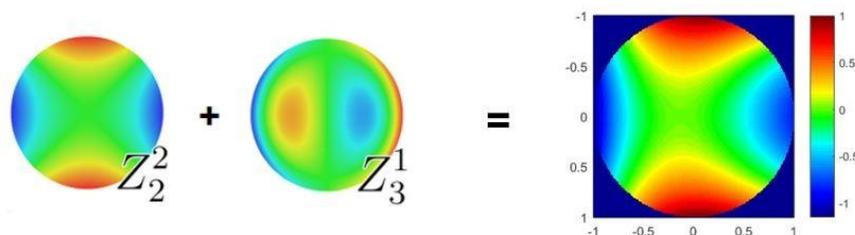


Figure 1. Off-axis parabola definition using Zernike aberrations, with a deformation of 15% Coma.

## 3. WFIRST REQUIREMENTS

The coronagraph instrument is composed by eight off-axis parabolas as shown in Figure 2. Each parabola has different optical prescription in terms of astigmatism and coma. The average Ratio(coma/astig) is approximately 10% for all eight optics, this ratio will be our requirement in this paper for the following study.

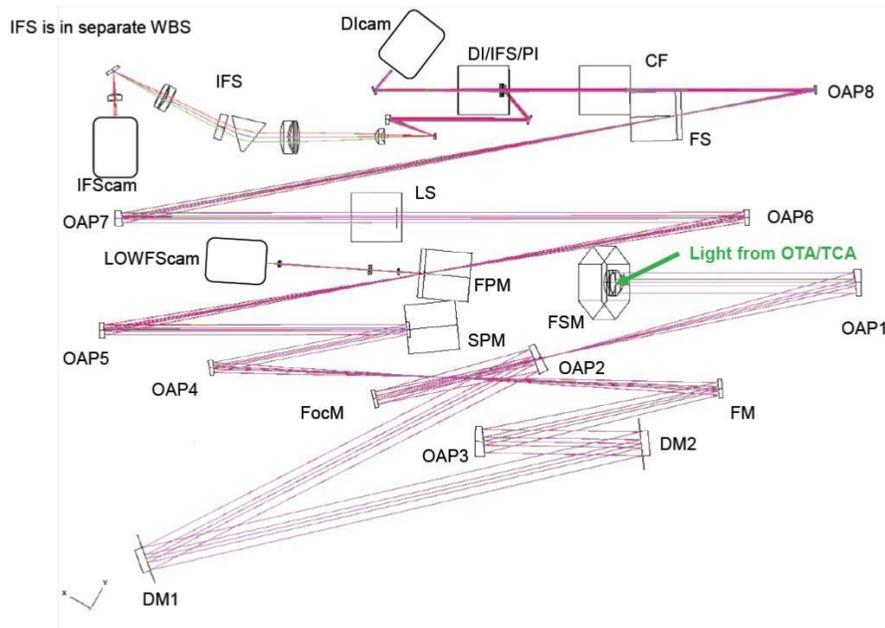


Figure 2. Optical design of the WFRIST coronagraph. Courtesy: Zhao Feng, NASA/JPL. OAP: off-axis parabola

## 4. OFF-AXIS PARABOLAS NEW MANUFACTURING PROCESS

### 4.1 Stress polishing technique

The idea is to use stress polishing to imprint the off-axis parabola shape on the top surface of the substrate. Stress polishing is a technique developed by the German astronomer Bernhard Schmidt in the 1930s<sup>3</sup>. The process consists in applying forces through a warping harness to generate the off-axis parabola shape on the substrate while polishing the part as a spherical surface. During this step the deformation created by the warping harness is imprinted on the substrate surface. After the polishing phase, the warping harness is removed and the substrate comes back to its initial position. To use this process the warping harness must generate a warping function equal to the inverse of the required final shape (i.e. the off-axis parabola) and the forces applied must be under the yield strength limit.

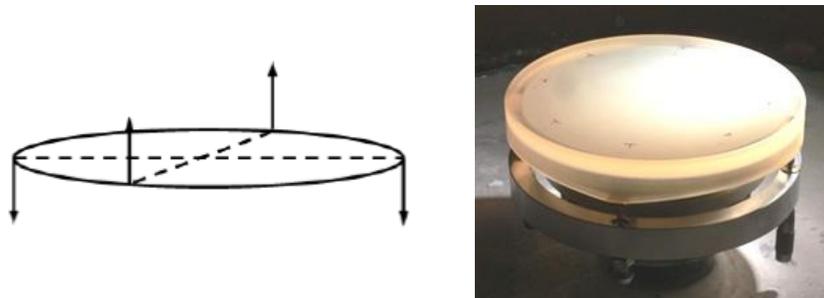


Figure 3. Left: Picture of the combination of the forces applied to obtain pure astigmatism. Right: Picture of the substrate, warping harness and the ring applying force.

This technique provides several advantages. We can manufacture low cost - high performance optics, as long as the mechanical deformation is handled, precisely controlled and within the breakage limit of the mirror substrates. Stress

polishing is suitable to provide high quality surface because the dimensions of the polishing tool and the mirror are equal, which avoids high frequency in the surface error<sup>4</sup>.

#### 4.2 3D printing technique

In this study the warping harness will be manufactured with 3D printing. This fabrication process allows an innovative design with hollow structures and non-standard thickness distribution. The design constraints are different from traditional manufacturing and this gives us the opportunity to explore a new range of structures. Initially developed with plastics, 3D printing now offers a wide range of materials from ceramics to metals as well as composite materials. As discussed in Section 6 promising ceramics materials, such as Cordierite, will be investigated.

The new fabrication process for off-axis parabolas, 3D printing combined with stress polishing, does not impact the final error budget of the optical surface. The error due to the warping harness printing is under 100 $\mu$ m Root Mean Square (RMS). This precision depends of the laser beam diameter and the layer thickness. We create a force application system composed by one actuators and micrometric screws with high transmission factor (around 1000 times). Combining 3D printing and this force application system with spherical polishing provides the high quality surface desired by the WFIRST optical requirements.

### 5. HARNESS DESIGNS STUDY

In this section the evolution of the warping harness will be presented, starting from an astigmatism mirror and developing to an non symmetric design with a thickness distribution optimised for the required off axis-parabola profile.

#### 5.1 Astigmatism mirror

A simple application of stress polishing is the astigmatism mirror. We applied two opposite forces as shown in Figure 4 (left). The warping harness is a simple ring as shown in Figure 4 (right).

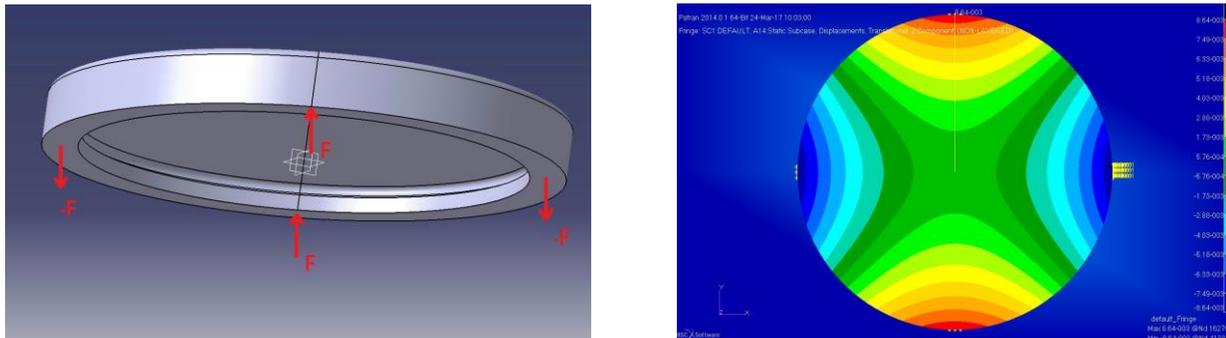


Figure 4. Left: CAD design of the substrate and the warping harness with the force application points. Right: Results of the nodes displacement after FEA simulation.

After the simulation with Finite Element Analysis (FEA) we obtained the nodes displacement, Figure 4 (right). The shape of astigmatism aberration is clearly shown. If we go further in computation by decomposing the surface shape with Zernike aberration (Table 1) in Matlab we obtain pure astigmatism 3 with no residuals and a deformation of several microns, 3.365 $\mu$ m with force application of 420N<sup>5</sup>.

#### 5.2 Non symmetrical harness

In order to obtain the off axis parabola form, we need to break the symmetry of the warping harness to introduce coma aberration into the final deformation. To generate astigmatism and coma a wedge was added in the warping harness design, as shown in Figure 5 (left).

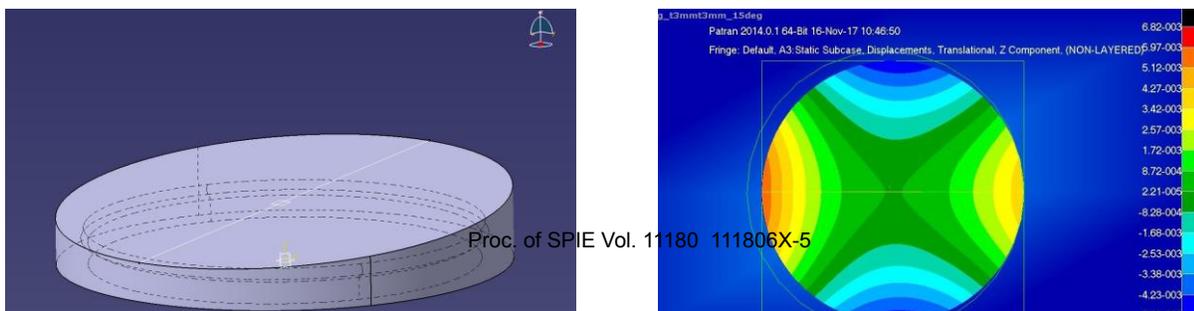


Figure 5. Left: CAD design of the substrate and the warping harness with the additional wedge. Right: Results of the nodes displacement after FEA simulation.

After FEA the astigmatism pattern is still present, in Figure 5 (right). The effect of the wedge is shown on the horizontal axis where the deformation is no longer symmetrical. Zernike decomposition has been performed and the amplitude of each Zernike terms is presented in Table 2.

Aberrations	Piston	Tilt y	Astig 3x	Coma 3y	Trefoil 5y	Astig 5y	Squad 7x	Pent 7x
Amplitude[ $\mu\text{m}$ ]	-0.064	-0.354	-2.847	-0.109	-0.112	+0.029	+0.009	+0.011

Table 2. Result of the Zernike decomposition after FEA on the non-symmetrical design.

As expected we obtained coma 3, but if we compare to astigmatism 3 the ratio is 3.82%, which does not yet meet the requirements and in addition, other non-desirable residuals are present. The magnitude of Trefoil 5 was of particular concern, as it shows high amplitude close to the amount of coma 3. Trefoil 5 aberration is undesirable in the surface shape because it will create errors in the final Point Spread Function (PSF) located in the disk where the coronagraph will detect exoplanets.

### 5.3 Innovative warping harness

Following the parametric study and topology optimisation<sup>6</sup> we converged on an innovative warping harness with a thickness distribution optimised for the required off axis parabolas, in Figure 6 (left).

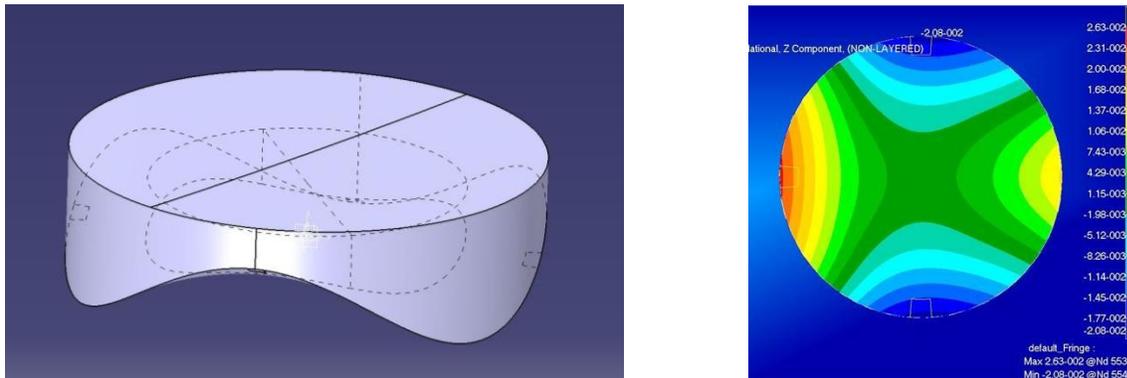


Figure 6. Left: CAD design of the substrate and the innovative warping harness. Right: Results of the nodes displacement after FEA simulation

In Figure 6 (right) is shown the node displacement after the deformation with FEA. As before there is still the astigmatism pattern, but with a larger non-symmetrical deformation on the horizontal axis which corresponds to coma 3 aberration. With this design the ratio requirement is achieved, the Ratio(coma/astig) is 10.7%, as shown by the ratio of coma 3y and astigmatism 3x amplitudes in Table 3.

Aberrations	Piston	Tilt y	Astig 3x	Coma 3y	Trefoil 5y	Astig 5y	Squad 7x	Pent 7x
Amplitude[ $\mu\text{m}$ ]	-0.046	-2.366	-7.909	-0.757	-0.141	+0.106	+0.107	+0.819

Table 3. Result of the Zernike decomposition after FEA on the non-symmetrical design.

### 5.4 WFIRST second off-axis parabola design

This design has been applied to the second of the WFIRST off axis parabolas. The requirements for this off axis parabola in terms of deformation are 0.870 $\mu\text{m}$  in astigmatism 3 and 0.088 $\mu\text{m}$  in coma 3. Results of the deformation after FEA and Zernikes decomposition are shown in Table 4. The deformation requirement are achieved, but the design generated additional residuals, which will be characterised through prototype production. This model will be our master piece for the set up of the fabrication process.

Aberrations	Piston	Tilt y	Astig 3x	Coma 3y	Trefoil 5y	Astig 5y	Squad 7x	Pent 7x
Amplitude[ $\mu\text{m}$ ]	-0.011	-0.274	-0.875	-0.087	-0.018	+0.009	+0.012	+0.038

## 6. PROTOTYPING

There are several options available in order to prototype the design.

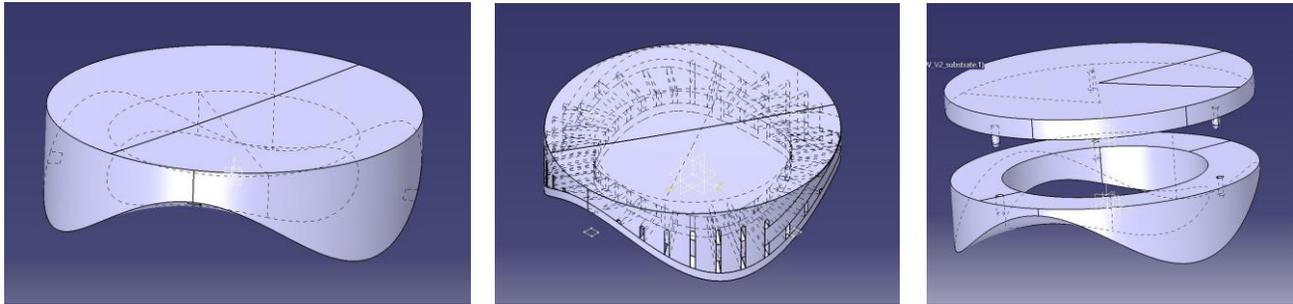


Figure 7. Left: CAD picture of the full model. Middle: CAD picture of the lightweight model. Left: CAD picture of the two parts assembly model.

The first option is that the off-axis parabolas and its warping harness could be manufactured in one bloc of Zerodur, using traditional manufacturing, in Figure 7 (left). This option is very expensive.

The second option will be studied in a future work to investigate lightweight structures and new materials, in Figure 7 (middle). The off-axis parabolas could be lightweight and printed by 3D printing. These prototypes aim to investigate the Corderite material and the possibility of polishing the surface. Corderite is a ceramic very close to Zerodur in terms of Coefficient of Thermal Expansion (CTE) and mechanical properties <sup>7,8</sup>. During 3D printing the ceramic resin is photopolymerised and then a cure is applied; this technique is called stereolithography <sup>9</sup>. During this phase the maximal thickness should be 5mm to be sure that all the ceramic resin is cured, the lightweighting design offers a large surface area which aids cure, but in addition, it also minimises mass for launch weight restrictions. The most challenging point of this design is that the lightweight structure should impact as little as possible the deformation on the mirror substrate and still provide perfect off-axis parabola.

The third option is the two-parts assembly model, in Figure 7 (right). Where the mirror substrate is manufactured in Zerodur by traditional manufacturing and the warping harness is printed. Multimaterial simulations have been done with several material options (Al, Ti, ceramic) for the harness and Zerodur for the substrate and there is no detrimental change on the surface deformation. So we can use different materials for the warping harness. The first prototype for WFIRST will be created via this method. The two-parts assembly model is the cheaper option.

## 7. CONCLUSION

In this study we created a warping harness design capable of generating off-axis parabola deformation with only one actuator. The simulations have demonstrated the ability to manufacture off-axis parabola using stress polishing combined with 3D printing. The development of this new manufacturing process shows that we can reach the WFIRST optical fabrication requirements with non-complex manufacturing. Simulations demonstrate very good results in term of surface quality which is very encouraging for the prototyping phase. Residuals need to be characterised during this phase to remove them in the future harness designs or compensate for them with the support structure. The initial prototypes are under fabrication and will soon be polished.

## ACKNOWLEDGEMENTS

The authors would like to acknowledge the European commission for funding this work through the Program H2020-ERC-STG-2015 – 678777 of the European Research Council.

## REFERENCES

- [1] Spergel, D., Gehrels, N., and Baltay, e. a., \Wide-Field InfrarRed Survey Telescope-Astrophysics Focused Telescope Assets WFIRST-AFTA 2015 Report," ArXiv e-prints (Mar. 2015).
- [2] Noll, R. J., \Zernike polynomials and atmospheric turbulence\_," J. Opt. Soc. Am. 66, 207{211 (Mar 1976).
- [3] Lemaître, G., "New procedure for making schmidt corrector plates," Appl. Opt. 11, 1630{1636 (Jul 1972)
- [4] Hugot, E., Laslandes, M., Ferrari, M., Vives, S., Moindrot, S., Hadi, K. E., and Dohlen, K., \Active optics: off axis aspherics generation for high contrast imaging," Proc.SPIE 10565, 10565 { 10565 { 7 (2018).
- [5] Hugot, E., Astronomical optics and elasticity - Active Optics for future Extremely Large Telescopes and their instrumentation, theses, Université de Provence - Aix-Marseille I (Oct. 2007).
- [6] Bendse, M. P. and Sigmund, O., [Topology Optimization Theory, Methods, and Applications], Springer-Verlag Berlin Heidelberg, Berlin, Heidelberg (2004).
- [7] SCHOTT, A., \Thermal expansion of zerodur," tech. rep. (May 2013).
- [8] Hirose, Y., Doi, H., and Kamigaito, O., \Thermal expansion of hot-pressed cordierite glass ceramics," Journal of Materials Science Letters 3, 153{155 (Feb 1984).
- [9] Stamp, J. and Hatzenbichler, M., [Additive Manufacturing Technologies], 20{27, Springer Berlin Heidelberg, Berlin, Heidelberg (2014).